

Use of CMS *Nursing Home Compare*Quality Ratings in Planning and Certificate of Need Regulation

Presentation to Nursing Home Work Group-Revised January 30, 2018

CMS Nursing Home Compare Star Ratings

- Proposed use in State Health Plan docketing rules and standards
- Overview of CMS Nursing Home Compare Star Ratings
- Maryland nursing home star ratings by jurisdiction
- Comparison of Maryland and neighboring states
- Maryland nursing home star ratings by corporation
- Issues for discussion

Construction of the Overall Nursing Home Star Rating

- Overall star rating made up of three components:
 - Health inspections
 - Staffing
 - Quality Measures
- Each component has its own star rating
- Health inspection forms the basis of overall rating

CMS Nursing Home Compare Overall Star Ratings 2015 - 2017 Maryland Comprehensive Care Facilities by Jurisdiction

| | | | 1 St | ar Ov | erall | 2 St | ar Ov | erall | Total Percent | 3 St | ar Ov | erall | 4 St | ar Ov | erall | 5 St | ar Ov | erall |
|----------------|-------------------------------|-------------------|------|------------------|-------|------|-------|-------|-----------------------|------|-------|-------|---------------|-------|-------|-----------------------|-------|-------|
| | Number of CCFs Surveyed | Number of Beds | | ich Be Averag | | Belo | w Ave | rage | 1 & 2 Star Overall | A | verag | е | Above Average | | | Much Above Average | | |
| Jurisdiction | Oct. 2017 | Oct. 2017 | 2017 | 2016 | 2015 | 2017 | 2016 | 2015 | 2017 | 2017 | 2016 | 2015 | 2017 | 2016 | 2015 | 2017 | 2016 | 2015 |
| Allegany | 8 | 915 | 4 | 4 | 3 | | | 1 | 50% | 1 | 2 | 2 | 3 | 2 | 2 | | | |
| Anne Arundel | 15 | 1,786 | 2 | 4 | 3 | 5 | 2 | | 47% | 4 | 4 | 3 | 2 | 2 | 5 | 2 | 3 | 4 |
| Baltimore | 44 | 5,332 | 5 | 6 | 4 | 9 | 9 | 13 | 32% | 8 | 6 | 5 | 6 | 12 | 7 | 15 | 11 | 14 |
| Baltimore City | 28 | 3,687 | 3 | 6 | 6 | 4 | 5 | 8 | 25% | 13 | 7 | 3 | 2 | 7 | 4 | 6 | 3 | 7 |
| Calvert | 4 | 302 | | | | | | | 0% | 1 | 1 | 1 | | 1 | 1 | 3 | 2 | 2 |
| Caroline | 2 | 187 | | 1 | 1 | 1 | | | 50% | | | | 1 | | 1 | | 1 | |
| Carroll | 10 | 933 | 1 | 2 | 1 | 2 | 1 | | 30% | 1 | 3 | 1 | 2 | | 4 | 4 | 4 | 4 |
| Cecil | 3 | 376 | 1 | 1 | 1 | | 1 | 1 | 33% | 1 | | | | | | 1 | 1 | 1 |
| Charles | 4 | 489 | | | | 1 | | 1 | 25% | 1 | 1 | 2 | 1 | 3 | | 1 | | |
| Dorchester | 2 | 258 | | | | | | | 0% | | 2 | 1 | 2 | | | | | 1 |
| Frederick | 9 | 1,206 | 1 | | 1 | 2 | 3 | 4 | 33% | 2 | 4 | 1 | 2 | 2 | 2 | 2 | | 1 |
| Garrett | 4 | 316 | | | | | 1 | 2 | 0% | | 1 | | 2 | 1 | 1 | 2 | 1 | 1 |
| Harford | 6 | 751 | | | | 1 | 1 | | 17% | 2 | 1 | 2 | 1 | 4 | 4 | 2 | | |
| Howard | 6 | 576 | | | 1 | 1 | 2 | | 17% | | | 2 | 2 | 1 | 1 | 2 | 2 | 1 |
| Kent | 3 | 228 | | 1 | | | | | 0% | 1 | | | | 1 | 2 | 2 | 1 | 1 |
| Montgomery | 34 | 4,580 | 3 | 3 | 1 | 8 | 9 | 7 | 32% | 2 | 3 | 4 | 6 | 9 | 11 | 15 | 10 | 11 |
| Prince Georges | 19 | 2,801 | 3 | 3 | 2 | 1 | 1 | 3 | 21% | 3 | 4 | 5 | 5 | 7 | 3 | 7 | 4 | 6 |
| Queen Annes | 1 | 120 | 1 | | | | | | 100% | | | | | 1 | 1 | | | |
| Somerset | 2 | 211 | | | | 1 | 1 | 1 | 50% | | 1 | 1 | 1 | | | | | |
| St. Marys | 3 | 571 | | | | | | | 0% | 1 | 1 | | 1 | 2 | 3 | 1 | | |
| Talbot | 2 | 285 | | | | | 1 | | 0% | 1 | | 1 | 1 | | 1 | | 1 | |
| Washington | 10 | 1,144 | 3 | 3 | 2 | 4 | 4 | 1 | 70% | 2 | | 3 | | 3 | 2 | 1 | | 2 |
| Wicomico | 4 | 613 | | | | | 1 | 1 | 0% | 1 | 1 | 1 | 1 | | 1 | 2 | 2 | 1 |
| Worcester | 3 | 307 | | 1 | 1 | 1 | | 1 | 33% | 2 | 2 | | | | | | | 1 |
| State Total | 226 | 27,974 | 27 | 35 | 27 | 41 | 42 | 44 | 30% | 47 | 44 | 38 | 41 | 58 | 56 | 68 | 46 | 58 |

Source: https://data.medicare.gov/data/nursing-home-compare Last accessed December 13, 2017

CMS Nursing Home Compare Overall Star Ratings 2015-2017 Comprehensive Care Facility Comparison National - Maryland - Regional

October 2017 CMS Nursing Home Compare Download

| | Total # Facilities Surveyed Not Rated* | | Much Below Average | Below Average | % Below Average | Average | Above Average | Much Above Average |
|---------------|--|-----|--------------------|---------------|-----------------|---------|---------------|--------------------|
| | | | 1 star | 2 star | 1 & 2 Stars | 3 star | 4 star | 5 star |
| National | 15,660 | 516 | 1,956 | 2,879 | 32% | 2,639 | 3,404 | 4,266 |
| Maryland | 226 | 2 | 27 | 41 | 30% | 47 | 41 | 68 |
| DC | 18 | 0 | 0 | 3 | 17% | 1 | 2 | 12 |
| Delaware | 45 | 3 | 2 | 6 | 19% | 6 | 9 | 19 |
| Pennsylvania | 701 | 16 | 115 | 130 | 36% | 133 | 127 | 180 |
| Virginia | 287 | 2 | 49 | 59 | 38% | 54 | 54 | 69 |
| West Virginia | 125 | 1 | 25 | 28 | 43% | 17 | 36 | 18 |

^{* 2017} Not Rated included 396 facilities Rating Not Displayed, 120 Too New to Rate; 2016 & 2015 reporting periods were generally Too New to Rate. Not Rated facilities were removed from the total for calculating percentage of Below Average facilities.

October 2016 CMS Nursing Home Compare Download

| | Total # Facilities | | Much Below Average | Below Average | % Below Average | Average | Above Average | Much Above Average | |
|---------------|--------------------|------------|--------------------|---------------|-----------------|---------|---------------|--------------------|--|
| | Surveyed | Not Rated* | 1 star | 2 star | 1 & 2 Stars | 3 star | 4 star | 5 star | |
| National | 15,644 | 138 | 2,510 | 2,921 | 35% | 2,879 | 3,553 | 3,643 | |
| Maryland | 228 | 0 | 35 | 42 | 34% | 44 | 59 | 48 | |
| DC | 19 | 0 | 0 | 3 | 16% | 2 | 3 | 11 | |
| Delaware | 45 | 0 | 3 | 8 | 24% | 7 | 12 | 15 | |
| Pennsylvania | 701 | 2 | 138 | 132 | 39% | 133 | 159 | 137 | |
| Virginia | 288 | 5 | 55 | 55 | 39% | 50 | 67 | 56 | |
| West Virginia | 126 | 1 | 34 | 28 | 50% | 25 | 21 | 17 | |

October 2015 CMS Nursing Home Compare Download

| | Total # Facilities | | Much Below Average | Below Average | % Below Average | Average | Above Average | Much Above Average |
|---------------|--------------------|------------|--------------------|---------------|-----------------|---------|---------------|--------------------|
| | Surveyed | Not Rated* | 1 star | 2 star | 1 & 2 Stars | 3 star | 4 star | 5 star |
| National | 15,657 | 140 | 2,298 | 3,060 | 35% | 2,916 | 3,702 | 3,541 |
| Maryland | 228 | 2 | 27 | 44 | 31% | 38 | 57 | 60 |
| DC | 19 | 0 | 1 | 3 | 21% | 2 | 3 | 10 |
| Delaware | 46 | 0 | 1 | 9 | 22% | 5 | 13 | 18 |
| Pennsylvania | 700 | 3 | 129 | 141 | 39% | 132 | 149 | 146 |
| Virginia | 287 | 2 | 48 | 57 | 37% | 66 | 63 | 51 |
| West Virginia | 126 | 1 | 30 | 25 | 44% | 26 | 27 | 17 |

Source: https://data.medicare.gov/data/nursing-home-compare Last accessed December 13, 2017

CMS Nursing Home Compare Overall Star Ratings 2015 - 2017

Largest Comprehensive Care Facility (CCF) Operators in Maryland

| | | | | | Percent |
|---------------------------------------|---|-----------------------------------|--|-------------------------------|--------------------|
| | | Current | | | 1 & 2 Star Overall |
| Operators with Multiple Maryland CCFs | Number of CCF Operated Nationally | Number of CCFs in Maryland* | Number of CCFs Surveyed Oct 2017 | Number of Maryland Beds | 2017 |
| Genesis | 450 | 33 | 33 | 4,428 | 58% |
| Communicare | 69 | 18 | 18 | 2,413 | 56% |
| FutureCare | 0 | 14 | 14 | 2,175 | 7% |
| HCR Manor Care | 231 | 14 | 14 | 2,000 | 21% |
| Murray Foreman | 98 | 12 | 12 | 1,498 | 33% |
| Sava Senior Care | 236 | 11 | 11 | 1,705 | 45% |
| Lorien | 0 | 9 | 9 | 812 | 0% |
| Aurora | 6 | 5 | 5 | 658 | 20% |
| Brinton Woods | 7 | 5 | 5 | 607 | 40% |
| NMS Healthcare | 4 | 4 | 5 | 784 | 100% |
| Consulate | 68 | 2 | 2 | 279 | 100% |
| Single Facility Operators | | 67 | 65 | 7,626 | 20% |
| CCRC | | 38 | 33 | 2,989 | 9% |
| State Total | | 232 | 226 | 27,974 | 30% |

^{*} Number of facilities surveyed in CMS Overall Star Ratings 2015 - 2017 might differ from current number of facilities Source: https://data.medicare.gov/data/nursing-home-compare Last accessed December 13, 2017

CMS Nursing Home Compare Overall Star Ratings 2015 - 2017

Largest Comprehensive Care Facility (CCF) Operators in Maryland

| | | | | | 1 Star Overall | | 2 St | 2 Star Overall | | 3 St | 3 Star Overall | | 4 Star Overall | | | 5 Star Overall | | | Total Percent | |
|---------------------------------------|----------------------------------|-----------------------------------|----------|-------------------------------|----------------|-----------------|------|----------------|----------------|------|----------------|-------|----------------|------|-----------------|----------------|------|-----------------|---------------|-----------------------|
| | Number | Current | Number | | | ch Bel verag | | | Below verag | | Α | verag | ge | | Above Averag | | | ich Ab verag | | 1 & 2 Star Overall |
| Operators with Multiple Maryland CCFs | of CCF Operated Nationally | Number of CCFs in Maryland* | Surveyed | Number of Maryland Beds | | 2016 | 2015 | 2017 | 2016 | 2015 | 2017 | 2016 | 2015 | 2017 | 2016 | 2015 | 2017 | 2016 | 2015 | 2017 |
| Genesis | 450 | 33 | 33 | 4,428 | 7 | 9 | 5 | 12 | 9 | 11 | 6 | 6 | 7 | 5 | 7 | 4 | 3 | 2 | 6 | 58% |
| Communicare | 69 | 18 | 18 | 2,413 | 6 | 7 | 3 | 4 | 5 | 6 | 6 | 3 | 5 | | 2 | 4 | 2 | 1 | | 56% |
| FutureCare | 0 | 14 | 14 | 2,175 | 1 | | | | 2 | 2 | 5 | 3 | 3 | 4 | 8 | 3 | 4 | 1 | 6 | 7% |
| HCR Manor Care | 231 | 14 | 14 | 2,000 | | 1 | 3 | 3 | 4 | 3 | 3 | 2 | 2 | 4 | 6 | 2 | 4 | 1 | 4 | 21% |
| Murray Foreman | 98 | 12 | 12 | 1,498 | 2 | 3 | 3 | 2 | 1 | 4 | 4 | 4 | 1 | 4 | 4 | 2 | | | 1 | 33% |
| Sava Senior Care | 236 | 11 | 11 | 1,705 | 3 | 4 | 5 | 2 | 3 | 1 | 4 | 4 | 4 | 2 | | 1 | | | | 45% |
| Lorien | 0 | 9 | 9 | 812 | | | | | 1 | | 1 | 1 | 2 | 4 | 5 | 5 | 4 | 2 | 2 | 0% |
| Aurora | 6 | 5 | 5 | 658 | | | | 1 | 1 | 2 | | | | 1 | 1 | 1 | 2 | 2 | 1 | 20% |
| Brinton Woods | 7 | 5 | 5 | 607 | 1 | 2 | 2 | 1 | 1 | 1 | 1 | | | | 1 | 1 | 2 | 1 | 1 | 40% |
| NMS Healthcare | 4 | 4 | 5 | 784 | 3 | 1 | 1 | 2 | 2 | 3 | | 1 | | | 1 | 1 | | | | 100% |
| Consulate | 68 | 2 | 2 | 279 | 1 | 2 | 2 | 1 | | | | | | | | | | | | 100% |
| Single Facility Operators | | 67 | 65 | 7,626 | 2 | 5 | 3 | 11 | 10 | 10 | 13 | 17 | 12 | 11 | 18 | 22 | 28 | 19 | 22 | 20% |
| CCRC | | 38 | 33 | 2,989 | 1 | 1 | | 2 | 3 | 1 | 4 | 3 | 2 | 6 | 6 | 11 | 19 | 19 | 17 | 9% |
| State Total | | 232 | 226 | 27,974 | 27 | 35 | 27 | 41 | 42 | 44 | 47 | 44 | 38 | 41 | 59 | 57 | 68 | 48 | 60 | 30% |

^{*} Number of facilities surveyed in CMS Overall Star Ratings 2015 - 2017 might differ from current number of facilities

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Issues for Discussion

- What should a minimum star rating be for a facility to expand?
- What should a minimum star rating be for a group/chain to expand?
- How can quality measures be used for docketing rules?
- Should additional providers be approved in jurisdictions with a preponderance of low performing nursing homes?
- What should be the look-back period for star ratings?
- How long should a new owner have to improve performance?